

## ETHO<sup>®</sup> Climate Leadership US ETF

as of 9/30/23

ETHO<sup>®</sup> selects equities based primarily on a full supply chain assessment of each company's carbon footprint and ESG sustainability.

The first diversified, fossil-free, sustainable and responsible U.S. ETF<sup>1</sup>

Iterative investment methodology developed by Stanford University climate science and supply chain sustainability professionals.

Uses a 5-level positive and negative screening process to select companies that align with high ESG guidelines, have lower pollution, and strong operational efficiency vs. their sector and industry peers.

### FOR MORE INFORMATION

Website: [etfmfg.com/ETHO](http://etfmfg.com/ETHO)  
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### FUND INFORMATION

Fund Inception 11/18/15  
 Ticker ETHO  
 AUM<sup>2</sup> \$172.5M  
 CUSIP 26924G888  
 ISIN US26924G8886  
 Stock Exchange NYSE ARCA  
 Management Fee 0.45%  
 Total Annual Fund Expense<sup>3</sup> 0.47%  
 Intraday NAV (IIV)<sup>4</sup> ETHO.IV  
 NAV Symbol ETHO.NV  
 Rebalance Freq. Annually

### TOP 10 FUND HOLDINGS (%)

Telephone & Data Systems 0.71  
 Nvidia Corp 0.63  
 Splunk Inc 0.61  
 Lenox International Inc 0.60  
 Akamai Technologies Inc 0.55  
 Chicos FAS Inc 0.55  
 Advance Drain Systems Inc 0.54  
 Adobe Inc 0.53  
 Veeco Instruments Inc 0.53  
 Vmware Inc 0.53

### KEY INDEX FACTS

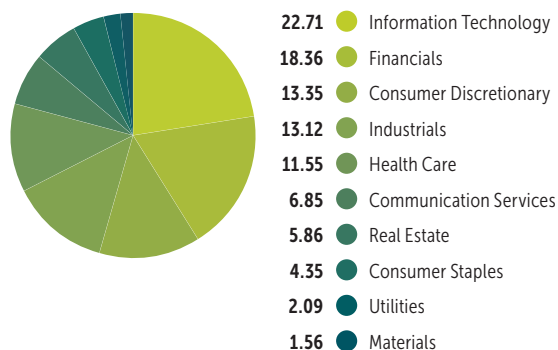
Index Provider Etho Capital  
 No. of Holdings<sup>5</sup> 259  
 Index Ticker ETHO

### Performance

	CUMULATIVE				ANNUALIZED				
	1 MONTH	3 MONTH	YTD	SINCE INCEP.	1 YEAR	3 YEARS	5 YEARS	10 YEARS	SINCE INCEP.
MARKET PRICE	-5.68%	-5.74%	2.22%	115.35%	9.79%	5.19%	6.92%		10.24%
NAV	-5.74%	-5.77%	2.27%	115.24%	9.74%	5.25%	6.94%		10.23%
INDEX	-5.81%	-5.93%	1.86%	107.03%	9.19%	4.75%	6.48%		9.69%

Performance data quoted represents past performance and does not guarantee future results. The investment return and principal value of an investment will fluctuate so that an investor's shares, when redeemed, may be worth more or less than their original cost. Current performance of the Funds may be lower or higher than the performance quoted. All performance is historical and includes reinvestment of dividends and capital gains. Performance data current to the most recent month end may be obtained by calling 1-844-ETF-MGRS (1-844-383-6477). Performance is annualized for periods greater than 1 year.

### Sector Breakdown (%)



## About the Fund

The Etho Climate Leadership US ETF (ETHO®) is the first diversified index ETF that avoids fossil fuel companies while selecting equities based primarily on full-Scope 1-3 carbon footprint and overall ESG alignment. The ETHO® ETF seeks to provide investment results that, before fees and expenses, correspond generally to the price and yield performance of the Etho Climate Leadership Index®, a broad-based index of publicly traded U.S. companies screened using proprietary data that is presumed to indicate greater climate efficiency and better ESG performance than their peers.

## Investment Strategy

To build ETHO®, Etho Capital's team uses a positive selection methodology to review more than 10,000 commonly traded public companies to identify the most climate-efficient leaders across industries. Using proprietary climate performance data that includes both supply chain and direct impacts, Etho Capital ranks each public company by climate emissions per dollar invested selecting the equities that are substantially more climate-efficient than their industry average for inclusion into the ETHO® Index. All fossil fuel, tobacco, weapons, and gambling companies are eliminated from the index. Additionally, the Etho Capital team conducts qualitative research to understand the material environmental, social, and governance (ESG) performance of each company to reduce additional ESG risks. Finally, Etho Capital consults with experts from industry, academia and NGO partners on relevant corporate ESG issues as a final check against ESG risks. For more information visit [ethocapital.com](http://ethocapital.com).<sup>6</sup> An investment cannot be made directly in an Index.

**Carefully consider the Fund's investment objectives, risk factors, charges and expenses before investing. This and additional information can be found in the Fund's prospectus, which may be obtained by calling 1-844-383-6477, or by visiting [www.etfmg.com/ETHO](http://www.etfmg.com/ETHO). Read the prospectus carefully before investing.**

<sup>1</sup> First-to-market claim is based on our review of industry data as of November 18, 2015. No information to the contrary has come to our attention to date, For more information or inquiries about this claim, please contact [info@etfmg.com](mailto:info@etfmg.com)

<sup>2</sup> AUM as of 9/30/23 and is subject to change on a daily basis.

<sup>3</sup> Total Annual Fund Operating Expenses reflect the operating expenses of the Fund including 0.02% that is attributed to acquired fund fees and expenses.

<sup>4</sup> Net Asset Value is the net value of an investment fund's assets less its liabilities, divided by the number of shares outstanding. Expenses and fees, including management and distribution fees, if any, are accrued daily and taken into account for purposes of determining NAV. NAV is determined each business day, normally as of the close of regular trading of the New York Stock Exchange (ordinarily 4:00pm., Eastern time). Market returns are based upon the midpoint of the bid-ask spread at 4:00pm Eastern time (when NAV is normally determined for most ETFs). Market price returns do not represent the returns you would receive if you traded shares at other times.

<sup>5</sup> Holdings are subject to change without notice.

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**Investing involves risk, including the possible loss of principal.** Shares of any ETF are bought and sold at market price (not NAV), may trade at a discount or premium to NAV and are not individually redeemed from the Fund. Brokerage commissions will reduce returns. Narrowly focused investments typically exhibit higher volatility. The Fund's return may not match or achieve a high degree of correlation with the return of the Etho Climate Leadership Index – US®. To the extent the Fund utilizes a sampling approach, it may experience tracking error to a greater extent than if the Fund had sought to replicate the index.

Because the Fund generally does not invest in (I) companies in the energy sector, (II) companies in the tobacco, aerospace and defense industries, and (III) companies in the gambling, gold and silver sub-industries, the Fund may underperform the broader equity market to the extent that such companies have above-average performance.

The ETFMG Funds are distributed by Foreside Fund Services, LLC. Not an adviser affiliate.

ETF Managers Group LLC serves as the investment adviser to the Fund.

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The Fund is intended to be made available only to U.S. residents. Under no circumstances is any information provided on this website intended for distribution to or use by, or to be an offer to sell to or solicitation of an offer to buy the Fund or any investment product or service of, any person or entity in any jurisdiction or country, other than the United States, where such distribution, use, offer or solicitation would subject the Fund or its affiliates to any registration requirement or be unlawful under the securities laws of that jurisdiction or country.