

PREMIUM DISCOUNT HISTORY



Etho Climate Leadership U.S. ETF

1/1 – 3/31/2020

PREMIUM/ DISCOUNT RANGE	NUMBER OF TRADING DAYS	% OF TOTAL TRADING DAYS
> 1.00%	2	3.23%
≥ to 0.75% < 1.00%	3	4.84%
≥ to 0.50% < 0.75%	1	1.61%
≥ to 0.25% < 0.50%	5	8.06%
≥ to 0.00% < 0.25%	25	40.32%
≤ to -0.00% > -0.25%	25	40.32%
≤ to -0.25% > -0.50%	0	0.00%
≤ to -0.50% > -0.75%	1	1.61%
≤ to -0.75% > -1.00%	0	0.00%
< -1.00%	0	0.00%
	62	100.00%

10/1 – 12/31/2019

PREMIUM/ DISCOUNT RANGE	NUMBER OF TRADING DAYS	% OF TOTAL TRADING DAYS
> 1.00%	0	0.00%
≥ to 0.75% < 1.00%	0	0.00%
≥ to 0.50% < 0.75%	0	0.00%
≥ to 0.25% < 0.50%	0	0.00%
≥ to 0.00% < 0.25%	53	82.81%
≤ to -0.00% > -0.25%	11	17.19%
≤ to -0.25% > -0.50%	0	0.00%
≤ to -0.50% > -0.75%	0	0.00%
≤ to -0.75% > -1.00%	0	0.00%
< -1.00%	0	0.00%
	64	100.00%

Year Ended 9/30/2019

PREMIUM/ DISCOUNT RANGE	NUMBER OF TRADING DAYS	% OF TOTAL TRADING DAYS
> 1.00%	2	0.80%
≥ to 0.75% < 1.00%	0	0.00%
≥ to 0.50% < 0.75%	1	0.40%
≥ to 0.25% < 0.50%	3	1.20%
≥ to 0.00% < 0.25%	134	53.39%
≤ to -0.00% > -0.25%	108	43.03%
≤ to -0.25% > -0.50%	3	1.20%
≤ to -0.50% > -0.75%	0	0.00%
≤ to -0.75% > -1.00%	0	0.00%
< -1.00%	0	0.00%

Year Ended 9/30/2018

PREMIUM/ DISCOUNT RANGE	NUMBER OF TRADING DAYS	% OF TOTAL TRADING DAYS
> 1.00%	3	1.20%
≥ to 0.75% < 1.00%	1	0.40%
≥ to 0.50% < 0.75%	7	2.80%
≥ to 0.25% < 0.50%	40	15.90%
≥ to 0.00% < 0.25%	117	46.60%
≤ to -0.00% > -0.25%	56	22.30%
≤ to -0.25% > -0.50%	15	6.00%
≤ to -0.50% > -0.75%	5	2.00%
≤ to -0.75% > -1.00%	5	2.00%
< -1.00%	2	0.00%



The following Frequency Distribution of Premiums and Discounts chart is provided to show the frequency at which the closing price for each Fund is at a premium or discount to its daily net asset value (NAV). The chart presented represents past performance and cannot be used to predict future results.

PREMIUM DISCOUNT HISTORY



Etho Climate Leadership U.S. ETF

Year Ended 9/30/2017

PREMIUM/ DISCOUNT RANGE	NUMBER OF TRADING DAYS	% OF TOTAL TRADING DAYS
> 1.00%	0	0.00%
≥ to 0.75% < 1.00%	1	0.40%
≥ to 0.50% < 0.75%	1	0.40%
≥ to 0.25% < 0.50%	27	10.70%
≥ to 0.00% < 0.25%	153	61.00%
≤ to -0.00% > -0.25%	63	25.10%
≤ to -0.25% > -0.50%	6	2.40%
≤ to -0.50% > -0.75%	0	0.00%
≤ to -0.75% > -1.00%	0	0.00%
< -1.00%	0	0.00%

11/19/2015* - 9/30/2016

PREMIUM/ DISCOUNT RANGE	NUMBER OF TRADING DAYS	% OF TOTAL TRADING DAYS
> 1.00%	16	7.30%
≥ to 0.75% < 1.00%	2	0.90%
≥ to 0.50% < 0.75%	14	6.40%
≥ to 0.25% < 0.50%	24	11.00%
≥ to 0.00% < 0.25%	90	41.10%
≤ to -0.00% > -0.25%	55	25.10%
≤ to -0.25% > -0.50%	9	4.10%
≤ to -0.50% > -0.75%	5	2.30%
≤ to -0.75% > -1.00%	3	1.40%
< -1.00%	1	0.00%

*First day of secondary market trading

The following Frequency Distribution of Premiums and Discounts chart is provided to show the frequency at which the closing price for each Fund is at a premium or discount to its daily net asset value (NAV). The chart presented represents past performance and cannot be used to predict future results.